

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U67190MH2008PTC187076

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABC19741E

(ii) (a) Name of the company

IIT INSURANCE BROKING AND I

(b) Registered office address

Rajabhadur Mansion, 2nd Floor,
28, Bombay Samachar Marg, Fort,
Mumbai
Maharashtra
400001
India

(c) *e-mail ID of the company

infomumbai@iitinsurance.com

(d) *Telephone number with STD code

02243250100

(e) Website

www.iitinsurance.com

(iii) Date of Incorporation

25/09/2008

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	INDUSTRIAL INVESTMENT TRL	L65990MH1933PLC001998	Holding	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5000000	2500000	2500000	2500000
Total amount of equity shares (in Rupees)	50000000	25000000	25000000	25000000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<input type="text"/>				
Number of equity shares	5000000	2500000	2500000	2500000

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50000000	25000000	25000000	25000000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0000000000000000	0000000000000000	0000000000000000	0000000000000000
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	2500000	25000000	25000000	
Increase during the year	0	0	0	0
i. Public Issues	0000000000000000+	0	0	0
ii. Rights issue	0000000000000000+	0	0	0
iii. Bonus issue	0000000000000000+	0	0	0
iv. Private Placement/ Preferential allotment	0000000000000000+	0	0	0
v. ESOPs	0000000000000000+	0	0	0
vi. Sweat equity shares allotted	0000000000000000+	0	0	0
vii. Conversion of Preference share	0000000000000000+	0	0	0

viii. Conversion of Debentures	0000000000000000(+)	0	0	0
ix. GDRs/ADRs	0000000000000000(+)	0	0	0
x. Others, specify				
N.A.				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0000000000000000(+)	0	0	0
ii. Shares forfeited	0000000000000000(+)	0	0	0
iii. Reduction of share capital	0000000000000000(+)	0	0	0
iv. Others, specify				
N.A.				
At the end of the year	2500000	25000000	25000000	
Preference shares				
At the beginning of the year	0000000000000000(+)	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0000000000000000(+)	0	0	0
ii. Re-issue of forfeited shares	0000000000000000(+)	0	0	0
iii. Others, specify				
N.A.				
Decrease during the year	0	0	0	0
i. Redemption of shares	0000000000000000(+)	0	0	0
ii. Shares forfeited	0000000000000000(+)	0	0	0
iii. Reduction of share capital	0000000000000000(+)	0	0	
iv. Others, specify				
N.A.				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

- Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable
- Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0000000000000000	0	0
Partly convertible debentures	0000000000000000	0	0
Fully convertible debentures	0000000000000000	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			9267673
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			66646026
Deposit			0
Total			75913699

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover****(ii) Net worth of the Company**

18355101

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2	0	0000000000000000	0
	(ii) Non-resident Indian (NRI)	0000000000000000	0	0000000000000000+	0
	(iii) Foreign national (other than NRI)	0000000000000000	0	0000000000000000+	0
2.	Government				
	(i) Central Government	0000000000000000	0	0000000000000000+	0
	(ii) State Government	0000000000000000	0	0000000000000000+	0
	(iii) Government companies	0000000000000000	0	0000000000000000+	0
3.	Insurance companies	0000000000000000	0	0000000000000000+	0
4.	Banks	0000000000000000	0	0000000000000000+	0
5.	Financial institutions	0000000000000000	0	0000000000000000	0
6.	Foreign institutional investors	0000000000000000	0	0000000000000000+	0
7.	Mutual funds	0000000000000000	0	0000000000000000+	0
8.	Venture capital	0000000000000000	0	0000000000000000+	0
9.	Body corporate (not mentioned above)	2499998	100	0000000000000000+	0
10.	Others	0000000000000000	0	0000000000000000+	0
	Total	2500000	100.	0000000000000000+	0.

Total number of shareholders (promoters)

3

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0000000000000000	0	0000000000000000	0
	(ii) Non-resident Indian (NRI)	0000000000000000	0	0000000000000000	0
	(iii) Foreign national (other than NRI)	0000000000000000	0	0000000000000000	0
2.	Government				
	(i) Central Government	0000000000000000	0	0000000000000000	0
	(ii) State Government	0000000000000000	0	0000000000000000	0
	(iii) Government companies	0000000000000000	0	0000000000000000	0
3.	Insurance companies	0000000000000000	0	0000000000000000	0
4.	Banks	0000000000000000	0	0000000000000000	0
5.	Financial institutions	0000000000000000	0	0000000000000000	0
6.	Foreign institutional investors	0000000000000000	0	0000000000000000	0
7.	Mutual funds	0000000000000000	0	0000000000000000	0
8.	Venture capital	0000000000000000	0	0000000000000000	0
9.	Body corporate (not mentioned above)	0000000000000000	0	0000000000000000	0
10.	Others	0000000000000000	0	0000000000000000	0
	Total	0000000000000000	0.	0000000000000000	0.

Total number of shareholders (other than promoters)

0000000000000000

Total number of shareholders (Promoters+Public/Other than promoters)

3

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	
Members (other than promoters)	0000000000000000	
Debenture holders	0000000000000000	

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**(A) *Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	1	0	1	0	0
B. Non-Promoter	0	1	0	1	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	1	0	1	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	2	0	2	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

2

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Bipin Agarwal	00001276	Director	1	

Venkatesan Narayanan	00765294	Director	0	
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(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting		
Extraordinary General Meeting	31/08/2017	3	2	100
Annual General Meeting	23/09/2017	3	2	100

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting		
1	29/05/2017	2	2	100
1	08/08/2017	2	2	100
1	11/11/2017	2	2	100
1	09/02/2018	2	2	100

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting		
1	Audit Committee	29/05/2017	2	2	100
1	Audit Committee	08/08/2017	2	2	100

1	Audit Committee	11/11/2017	2	2	100
1	Audit Committee	09/02/2018	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director							
1	Bipin Agarwal	4	4	100	4	4	100	Yes
2	Venkatesan Na	4	4	100	4	4	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Bipin Agarwal	Director	0	0	0	90000	0
2	Venkatesan Narayan	Director	0	0	0	90000	0
	Total		0	0	0	180000	180000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES

Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Digitally signed by Bipin Agarwal
Date: 2018.11.16 11:50:06 +05'30'

DIN of the director

To be digitally signed by

Digitally signed by Chandanbala Mehta
Date: 2018.11.16 11:52:26 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

List of attachments

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares held	Class of Shares
Industrial	Investment	Trust Limited	1		2,499,998	Equity share
Bipin Agarwal	on behalf of Industrial	Investment Trust Limitec	2		1	Equity share
Swaran Singh	on behalf of Industrial	Investment Trust Limitec	3		1	Equity share